A more realistic Example:

$$\begin{aligned} \mathsf{app}(X, Y, Z) &\leftarrow X = [], Y = Z \\ \mathsf{app}(X, Y, Z) &\leftarrow X = [H|X'], Z = [H|Z'], \mathsf{app}(X', Y, Z') \\ &\leftarrow \mathsf{app}(X, [Y, c], [a, b, Z]) \end{aligned}$$

#### Remark:

- []=the atom empty list[H|Z]=binary constructor application
- [a, b, Z] = Abbreviation for: [a|[b|[Z|[]]]]

Accordingly, a program *p* is constructed as follows:

$$t ::= a | X | _ | f(t_1, ..., t_n)$$
  

$$g ::= p(t_1, ..., t_k) | X = t$$
  

$$c ::= p(X_1, ..., X_k) \leftarrow g_1, ..., g_r$$
  

$$q ::= \leftarrow g_1, ..., g_r$$
  

$$p ::= c_1 ... c_m q$$

- A term *t* either is an atom, a (possibly anonymous) variable or a constructor application.
- A goal *g* either is a literal, i.e., a predicate call, or a unification.
- A clause *c* consists of a head  $p(X_1, ..., X_k)$  together with body consisting of a sequence of goals.
- A program consists of a sequence of clauses together with a sequence of goals as query.

## Procedural View of PuP-Programs:

literal	 procedure call
predicate	 procedure
definition	 body
term	 value
unification	 basic computation step
binding of variables	 side effect

Warning: Predicate calls ...

- do not return results!
- modify the caller solely through side effects :-)
- may fail. Then, the following definition is tried = backtracking

#### Inefficiencies:

# Backtracking:•The matching alternative must be searchedfor $\implies$ Indexing

- Since a successful call may still fail later, the stack can only be cleared if there are no pending alternatives.
- **Unification:** The translation possibly must switch between build and check several times.
  - In case of unification with a variable, an Occur Check must be performed.
- **Type Checking:** Since Prolog is untyped, it must be checked at run-time whether or not a term is of the desired form.
  - Otherwise, ugly errors could show up.

#### Some Optimizations:

- Replacing last calls with jumps;
- Compile-time type inference;
- Identification of deterministic predicates ...

## Example:

$$app(X, Y, Z) \leftarrow X = [], Y = Z$$
$$app(X, Y, Z) \leftarrow X = [H|X'], Z = [H|Z'], app(X', Y, Z')$$
$$\leftarrow app([a, b], [Y, c], Z)$$

## Observation:

- In PuP, functions must be simulated through predicates.
- These then have designated **input-** and output parameters.
- Input parameters are those which are instantiated with a variable-free term whenever the predicate is called.
   These are also called ground.
- In the example, the first parameter of app is an input parameter.
- Unification with such a parameter can be implemented as pattern matching !
- Then we see that app in fact is deterministic !!!

## 5.1 Groundness Analysis

A variable *X* is called ground w.r.t. a program execution  $\pi$  starting program entry and entering a program point v, if *X* is bound to a variable-free term.

## Goal:

- Find all variables which are ground whenever a particular program point is reached !
- Find all arguments of a predicate which are ground whenever the predicate is called !

#### Idea:

- Describe groundness by values from **B**:
  - 1 =variable-free term;
  - 0 = term which contains variables.
- A set of variable assignments is described by Boolean functions :-)
  - $X \leftrightarrow Y = X$  is ground iff Y is ground.
  - $X \wedge Y = X$  and Y are ground.

## Idea (cont.):

- The constant function 0 denotes an unreachable program point.
- Occurring sets of variable assignments are closed under substitution.

This means that for every occurring function  $\phi \neq 0$ ,

$$\phi(1,\ldots,1)=1$$

These functions are called positive.

- The set of all positive functions is called Pos. Ordering:  $\phi_1 \sqsubseteq \phi_2$  if  $\phi_1 \Rightarrow \phi_2$ .
- In particular, the least element is 0 :-)

## Example:



#### Remarks:

- Not all positive functions are monotonic !!!
- For *k* variables, there are  $2^{2^{k}-1} + 1$  many functions.
- The height of the complete lattice is  $2^k$ .
- We construct an interprocedural analysis which for every predicate *p* determines a (monotonic) transformation

$$\llbracket p \rrbracket^{\sharp} : \mathsf{Pos} \to \mathsf{Pos}$$

• For every clause,  $p(X_1, ..., X_k) \Leftarrow g_1, ..., g_n$  we obtain the constraint:

$$\llbracket p \rrbracket^{\sharp} \psi \quad \supseteq \quad \exists X_{k+1}, \ldots, X_m. \llbracket g_n \rrbracket^{\sharp} (\ldots (\llbracket g_1 \rrbracket^{\sharp} \psi) \ldots)$$

// *m* number of clause variables

Abstract Unification:

$$\llbracket X = t \rrbracket^{\sharp} \psi = \psi \land (X \leftrightarrow X_1 \land \ldots \land X_r)$$
  
if  $Vars(t) = \{X_1, \ldots, X_r\}.$ 

#### Abstract Literal:

$$\llbracket q(s_1,\ldots,s_k) \rrbracket^{\sharp} \psi = \operatorname{combine}_{s_1,\ldots,s_k}^{\sharp}(\psi,\llbracket q \rrbracket^{\sharp}(\operatorname{enter}_{s_1,\ldots,s_k}^{\sharp}\psi))$$

// analogous to procedure call !!

## Thereby:

$$\operatorname{enter}_{s_1,\ldots,s_k}^{\sharp} \psi = \operatorname{ren}\left(\exists X_1,\ldots,X_m, \left[\!\left[\bar{X}_1=s_1,\ldots,\bar{X}_k=s_k\right]\!\right]^{\sharp} \psi\right)$$
$$\operatorname{combine}_{s_1,\ldots,s_k}^{\sharp}(\psi,\psi_1) = \exists \bar{X}_1,\ldots,\bar{X}_r, \psi \wedge \left[\!\left[\bar{X}_1=s_1,\ldots,\bar{X}_k=s_k\right]\!\right]^{\sharp}(\operatorname{ren}\psi_1)$$

where

$$\exists X. \phi = \phi[0/X] \lor \phi[1/X]$$
  
ren  $\phi = \phi[X_1/\bar{X}_1, \dots, X_k/\bar{X}_k]$   
$$\overline{\text{ren }} \phi = \phi[\bar{X}_1/X_1, \dots, \bar{X}_r/X_r]$$

#### Example:

$$app(X, Y, Z) \leftarrow X = [], Y = Z$$
$$app(X, Y, Z) \leftarrow X = [H|X'], Z = [H|Z'], app(X', Y, Z')$$

Then

where for  $\psi = X \wedge H \wedge X' \wedge (Z \leftrightarrow Z')$ :

enter<sup>#</sup><sub>...</sub>( $\psi$ ) = X combine<sup>#</sup><sub>...</sub>( $\psi$ , X  $\wedge$  (Y  $\leftrightarrow$  Z)) = (X  $\wedge$  H  $\wedge$  X'  $\wedge$  (Z  $\leftrightarrow$  Z')  $\wedge$  (Y  $\leftrightarrow$  Z')